

HEALTH ENTITIES

COMPANY NAME: _____ NAIC Company Code: _____

Contact: _____ Telephone: _____

REQUIRED FILINGS IN THE STATE OF: _____ Filings Made During the Year 2026

(1) Checklist	(2) Line #	(3) REQUIRED FILINGS FOR THE ABOVE STATE	(4) NUMBER OF COPIES*		(5) DUE DATE	(6) FORM SOURCE**	(7) APPLICABLE NOTES			
			Domestic							
			State	NAIC						
I. NAIC FINANCIAL STATEMENTS										
1	Annual Statement (8 1/2"X14")		EO		3/1	NAIC				
1.1	Printed Investment Schedule detail (Pages E01-E29)		EO	xxx	3/1	NAIC				
2	Quarterly Financial Statement (8 1/2" x 14")		EO		5/15, 8/15, 11/15	NAIC				
II. NAIC SUPPLEMENTS										
11	Accident & Health Policy Experience Exhibit		EO		4/1	NAIC				
12	Actuarial Opinion		EO		3/1	Company				
13	Life Supplemental Data due March 1		EO		3/1	NAIC				
14	Life Supplemental Data due April 1		EO		4/1	NAIC				
15	Life Supp Statement non-guaranteed elements – Exh 5, Int. #3		EO		3/1	Company				
16	Life Supp Statement on par/non-par policies – Exh 5 Int. 1&2		EO		3/1	Company				
17	Life, Health & Annuity Guaranty Association Assessable Premium Exhibit, Parts 1 and 2		EO	xxx	4/1	NAIC				
18	Long-Term Care Experience Reporting Forms		EO	xxx	4/1	NAIC				
19	Management Discussion & Analysis		EO		4/1	Company				
20	Market Conduct Annual Statement Premium Exhibit for Year		EO		3/1	NAIC				
21	Medicare Part D Coverage Supplement		EO		3/1, 5/15, 8/15, 11/15	NAIC				
22	Medicare Supplement Insurance Experience Exhibit		EO	xxx	3/1	NAIC				
23	Risk-Based Capital Report		EO		3/1	NAIC				
24	Schedule SIS		N/A	N/A	3/1	NAIC				
25	Supplemental Compensation Exhibit		N/A	N/A	3/1	NAIC				
26	Supplemental Health Care Exhibit (Parts 1 and 2)		EO		4/1	NAIC				
27	Supplemental Investment Risk Interrogatories		EO		4/1	NAIC				
III. ELECTRONIC FILING REQUIREMENTS										
61	Annual Statement Electronic Filing	xxx	EO	xxx	3/1	NAIC				
62	March .PDF Filing	xxx	EO	xxx	3/1	NAIC				
63	Risk-Based Capital Electronic Filing	xxx	EO	N/A	3/1	NAIC				
64	Risk-Based Capital .PDF Filing	xxx	EO	N/A	3/1	NAIC				
65	Supplemental Electronic Filing	xxx	EO	xxx	4/1	NAIC				
66	Supplemental .PDF Filing	xxx	EO	xxx	4/1	NAIC				
67	Quarterly Statement Electronic Filing	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC				
68	Quarterly .PDF Filing	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC				
69	June .PDF Filing	xxx	EO	xxx	6/1	NAIC				
IV. AUDIT/INTERNAL CONTROL RELATED REPORTS										
81	Accountants Letter of Qualifications		EO	N/A	6/1	Company				
82	Audited Financial Reports		EO		6/1	Company				
83	Audited Financial Reports Exemption Affidavit		N/A	N/A		Company				
84	Communication of Internal Control Related Matters Noted in Audit		EO	N/A	8/1	Company				
85	Independent CPA (change)		N/A	N/A		Company				
86	Management's Report of Internal Control Over Financial Reporting		N/A	N/A	8/1	Company				
87	Notification of Adverse Financial Condition		N/A	N/A		Company				

*If XXX appears in this column, this state does not require this filing, if hard copy is filed with the state of domicile and if the data is filed electronically with the NAIC. If N/A appears in this column, the filing is required with the domiciliary state. EO (electronic only filing).

****If Form Source is NAIC, the form should be obtained from the appropriate vendor.**

***For those states that have adopted the NAIC Corporate Governance Annual Disclosure Model Act, an annual disclosure is required of all insurers or insurance groups by June 1. The Corporate Governance Annual Disclosure is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state if filed at the insurance group level. For more information on lead states, see the following NAIC URL: http://www.naic.org/public_lead_state_report.htm.

****For those states that have adopted the NAIC updated Holding Company Model Act, a Form F filing is required annually by holding company groups. Consistent with the Form B filing requirements, the Form F is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state. For more information on lead states, see the following NAIC URL: http://www.naic.org/public_lead_state_report.htm

*****For those states that have adopted the NAIC Risk Management and Own Risk and Solvency Assessment Model Act, a summary report is required annually by insurers and insurance groups above a specified premium threshold. The ORSA Summary Report is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state if filed at the insurance group level. For more information on lead states, see the following NAIC URL: http://www.naic.org/public_lead_state_report.htm

		NOTES AND INSTRUCTIONS (A-K APPLY TO ALL FILINGS)	
	A	Required Filings Contact Person:	Company.admissions@doi.nv.gov For other contact information: See "Q"
	B	Electronic Financial Filing Submission: For NAIC Checklist Requirements Do Not File through SERFF	For Domestic Companies, Companies not filing with NAIC, Accredited Reinsurers and Purchasing Groups: http://doi.nv.gov/Insurers/ Documents eligible for submission through the portal will have a "SUBMIT" link. Certain documents may not be submitted through the portal and must be submitted by email to the department. Please do not mail hard copies of filings submitted through the portal.
	C	Electonic Company Invoice Service Portal Does not apply to: Individual/agencies/entities licensed under NRS 683A or NRS 692	https://doi.nv.gov/Insurers/ Company invoices are available for viewing via the Company Invoice Service Portal Payment Information is included on the company invoice
	D	Mailing Address for Premium Tax Payments: For all companies Except Captives : For Captives:	Nevada Department of Taxation 1515 College Pkwy, Ste 115 Carson City NV 89706 Premiumtax@tax.state.nv.us Premium Tax Forms Captives@doi.nv.gov .
	E	Delivery Instructions: Does Not apply to Individuals/agencies/entities Licensed under NRS 683A or NRS 692 Filings Must Be Legible	The due date is March 1st. The division will consider filings and payments postmarked on or before March 1st as being submitted timely. 1. Send Annual Renewal Payments to the Nevada Division of Insurance Carson City Office – See "C" 2. Send Annual Statement or Jurat Filings Carson City – See "B" 3. File Required Industry Reports through SERFF or Mail to Life/Health or Property/Casualty Section. See "Q"
	F	Late Filings:	Company will be fined \$100 per day to maximum of \$3,000 for late filings

			(received or postmarked after 3/1/24), or as required by statute.
	G	Original Signatures:	Domestic companies: original signatures are required on all filings. Foreign Companies: Follow NAIC Annual Statement Instructions.
	H	Signature/Notarization/Certification:	The president and Secretary are required to sign the annual statement, or, in the absence of one, two other principal officers may sign.
	I	Amended Filings:	Domestic companies: File within 10 days of amendment and include an explanation of the amendment(s). Signature requirements listed apply to any amendment. See "G" Foreign companies: Do not file with the Nevada Division of Insurance. (Amended filings are filed electronically with the NAIC.)
	J	Exceptions from normal filings:	Domestic companies: Apply at least 30 days prior to the due date. Foreign companies: File only for Nevada required filings - supply a written copy of any exemption or extension received from your state of domicile at least 10 days prior to the filing due date.
	K	Bar Codes (State or NAIC):	Follow the instructions in the NAIC Annual Statement Instructions.
	L	Signed Jurat:	Foreign Companies: Do Not File Jurat Page. Do Not Send hard copy of Annual Statement, if Annual Statement is filed with the state of domicile and electronically with the NAIC. Domestic companies: The submitted Jurat page must be signed and filed electronically with your assigned DOI analyst. See "B" for mailing instructions.
	M	NONE Filings:	All pages must be included. "None Page" allowed
	N	Filings new, discontinued or modified materially since last year:	Modified: Domestic companies: The submitted filings must be signed and filed electronically with your assigned DOI analyst. See "R" for additional notes.
	O	Late Payment Penalty:	Company will be fined a maximum of \$2,000 for late payment of annual renewal fee, or as required by statute.
	P	Publication of annual statement contact information in NV newspapers.	This is a requirement of the Nevada Secretary of State. See Foreign Corporation Publication

			Requirements Nevada Secretary of State. (nvsos.gov)
	Q	Contact and Mailing Address for Required Industry Reports filings: (File through SERFF)	<p>Please refer to the appropriate section of Required Industry Report for contact and mailing information concerning filings.</p> <p>Do Not File with NAIC checklist items. Required Industry Reports can be found at:</p> <p>For Property/Casualty: Nevada Division of Insurance (nv.gov)</p> <p>For Life/Health: Nevada Division of Insurance (nv.gov)</p>
	R	Additional Notes	<ol style="list-style-type: none"> Domestic Companies: See "L" and "N", also - supplements listed in section II are not required, if included with the annual statement listed in section I. Foreign Companies: See section V for required filing. Do not file any items listed in sections I, II, III or IV as applicable Fund for Administration and Enforcement annual fee (A&E) is included on the Certificate renewal invoice. See Nevada Division of Insurance (nv.gov) Entities

**General Instructions
For Companies to Use Checklist**

Please Note: This state's instructions for companies to file with the NAIC are included in this Checklist. The NAIC will not be sending their own checklist this year.

Electronic Filing is intended to be filing(s) submitted to the NAIC via the NAIC Internet Filing Site which eliminates the need for a company to submit diskettes or CD-ROM to the NAIC. Companies are not required to file hard copy filings with the NAIC.

Column (1) Checklist

Companies may use the checklist to submit to a state, if the state requests it. Companies should copy the checklist and place an "x" in this column when submitting information to the state.

Column (2) Line #

Line # refers to a standard filing number used for easy reference. This line number may change from year to year.

Column (3) Required Filings

Name of item or form to be filed.

The ***Annual Statement Electronic Filing*** includes the annual statement data and all supplements due March 1, per the *Annual Statement Instructions*. This includes all detail investment schedules and other supplements for which the *Annual Statement Instructions* exempt printed detail.

The ***March.PDF Filing*** is the .pdf file for annual statement data, detail for investment schedules and supplements due March 1.

The ***Risk-Based Capital Electronic Filing*** includes all risk-based capital data.

The ***Risk-Based Capital.PDF Filing*** is the .pdf file for risk-based capital data.

The ***Supplemental Electronic Filing*** includes all supplements due April 1, per the *Annual Statement Instructions*.

The ***Supplemental.PDF Filing*** is the .pdf file for all supplemental schedules and exhibits due April 1.

The ***Quarterly Electronic Filing*** includes the complete quarterly filing and the PDF files for all quarterly data.

The ***Quarterly.PDF Filing*** is the .pdf file for quarterly statement data.

The ***June.PDF Filing*** is the .pdf file for the Audited Financial Statements and Accountants Letter of Qualifications.

Column (4) Number of Copies

Indicates the number of copies that each foreign or domestic company is required to file for each type of form. The Blanks (EX) Task Force modified the 1999 *Annual Statement Instructions* to waive paper filings of certain NAIC supplements and certain investment schedule detail, if such investment schedule data is available to the states via the NAIC database. The checklists reflect this action taken by the Blanks (EX) Task Force. XXX appears in the "Number of Copies" "Foreign" column for the appropriate schedules and exhibits. **Some states have chosen to waive printed quarterly and annual statements from their foreign insurers and have chosen to rely upon the NAIC database for these filings. This waiver could include supplemental annual statement filings. The XXX in this column might signify that the state has waived the paper filing of the annual statement and all supplements.**

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Column (5) Due Date

Indicates the date on which the company must file the form.

Column (6) Form Source

This column contains one of three words: “NAIC,” “State,” or “Company.” If this column contains “NAIC,” the company must obtain the forms from the appropriate vendor. If this column contains “State,” the state will provide the forms with the filing instructions (generally, on the state web site). If this column contains “Company,” the company, or its representative (e.g., its CPA firm), is expected to provide the form based upon the appropriate state instructions or the NAIC *Annual Statement Instructions*.

Column (7) Applicable Notes

This column contains references to the Notes to the Instructions that apply to each item listed on the checklist. The company should carefully read these notes before submitting a filing.

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